

Docket No. SAIC0080



IFW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Steven R. LIGON, et al.

Group Art Unit: 3623

Serial No.: 10/690,657

Examiner: To Be Assigned

Filed: October 23, 2003

For: SYSTEM AND METHOD FOR DETERMINING PERFORMANCE LEVEL  
CAPABILITIES IN VIEW OF PREDETERMINED MODEL CRITERIA

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 AND 1.98**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Amendment  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Sir:

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97-1.98 and MPEP § 609, the references noted on the attached form PTO-1449 are hereby brought to the attention of the Examiner.

No fees are believed to be necessary since the references cited in this statement are being submitted before the First Office Action. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 or 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 501458. A duplicate copy of this letter is submitted herewith for that purpose.

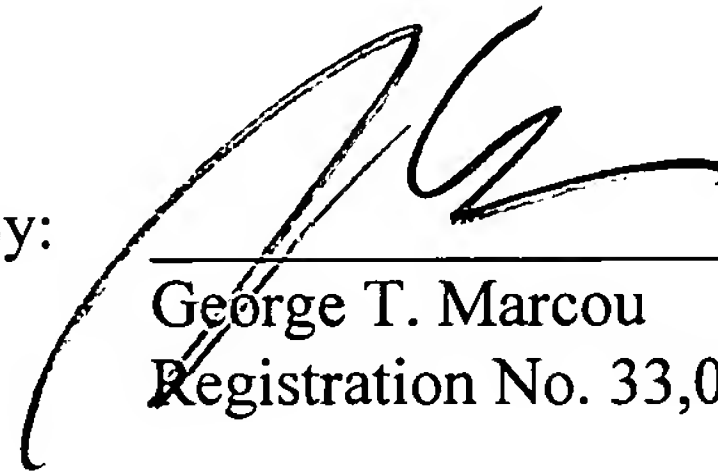
The above information is presented so that the United States Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) conferring the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

Date:

5/25/04

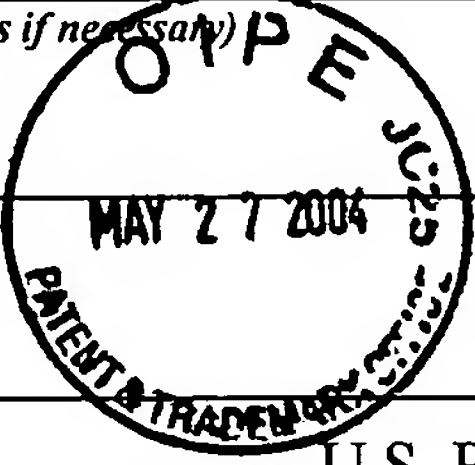
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36609/279926  
WSHLIB01:190046

Form PTO-1449 (Rev. 2-32)		U.S. Department of Commerce Patent & Trademark Office		Atty. Docket No. <b>SAIC0080</b>		Serial No. <b>10/690,657</b>	
<b>INFORMATION DISCLOSURE STATEMENT</b> <i>(Use several sheets if necessary)</i>				<b>Applicant</b> <b>Steven R. LIGON, et al.</b>			
							
				<b>Filing Date</b> <b>October 23, 2003</b>		<b>Group</b> <b>3623</b>	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date (if appropriate)
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
		Gainer, Jeff, "Process Improvement: The Capability Maturity Model" [online], May 10, 1998 [retrieved on May 17, 2004], 3 pp., Retrieved from the Internet: <a href="http://www.itmweb.com/f051098.htm">http://www.itmweb.com/f051098.htm</a>					
		"SAIC Plays Key Role in Systems Engineering Revitalization Initiative," <i>Spectrum Aerospace, Intelligence &amp; Information Sector Newsletter</i> , 3 pp., May/June, 2003					
		Paulk, Mark C., et al., "Capability Maturity Model <sup>SM</sup> for Software, Version 1.1," Technical Report, Software Engineering Institute, Carnegie Mellon University, 81 pp., February, 1993					
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication.							

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